



COPY OF PAPERS  
ORIGINALLY FILED

PATENT APPLICATION  
Attorney's Do. No. 8514-26 (ST-A22)

216  
7/16/99  
PH  
#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned Hoffman

Serial No.: 09/441,107

Group Art Unit: 2164

Filed: November 16, 1999

Examiner: J. Bergin

For: SYSTEM AND METHOD FOR TOKENLESS  
BIOMETRIC ELECTRONIC SCRIP

Box DD  
Assistant Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS COR-  
RESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN  
ENVELOPE ADDRESSED TO:

☐ COMMISSIONER OF PATENTS AND  
TRADEMARKS, WASHINGTON D.C.  
20231

☒ ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON D.C. 20231

☐ ASSISTANT COMMISSIONER FOR  
TRADEMARKS, 2900 CRYSTAL DRIVE,  
ARLINGTON VA 22202-3513

ON: 13 June 2002

RECEIVED  
JUN 25 2002  
GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Substitute Page Two

Applicant encloses a revised page 2 of the PTO-1449 filed January 12, 2001 with an Information Disclosure Statement and Preliminary Amendment. The revised page 2 corrects a typographical error wherein Hoffman et al. should have been cited as --5,613,012-- not "5,613,102" as listed. Please substitute the enclosed page 2 for page 2 of the PTO-1449 previously submitted.

Supplemental Submission

Supplementing the Information Disclosure Statements filed January 18, 2001 and January 12, 2001; applicant submits herewith PTO Form 1449 and copies of patents of which he is aware which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR Section 1.56.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

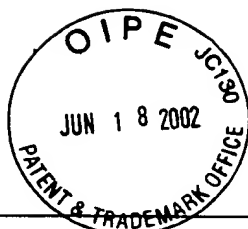
MARGER JOHNSON & McCOLLOM, P.C.

By

Alexander C. Johnson, Jr.  
Reg. No. 29,396

1030 S.W. Morrison Street  
Portland, Oregon 97205  
Telephone: (503) 222-3613

cc: Michael J. Biber, Esq. (ST-A22)



COPY OF PAPERS  
ORIGINALLY FILED

Page 2 of 2

Form PTO-1449 (modified)  
INFORMATION DISCLOSURE CITATION

Atty. Docket No.  
8514-26

Serial No.  
09/441,107

Applicant:  
Hoffman

Examiner J. Bergin

Filing Date  
November 16, 1999

Group  
2164

| Initial | Document Number | Date    | Name             | Class | Subclass |
|---------|-----------------|---------|------------------|-------|----------|
| ___     | 5,351,303       | 9/1994  | Willmore         | 382   | 4        |
| ___     | 5,354,974       | 10/1994 | Eisenberg        | 235   | 379      |
| ___     | 5,359,669       | 10/1994 | Shanely et al.   | 382   | 117      |
| ___     | 5,386,104       | 1/1995  | Sime             | 235   | 379      |
| ___     | 5,499,288       | 3/1996  | Hunt             | 379   | 266      |
| ___     | 5,561,718       | 10/1996 | Muller           | 379   | 266      |
| ___     | 5,604,802       | 2/1997  | Holloway         | 380   | 24       |
| ___     | 5,613,012       | 3/1997  | Hoffman et al.   | 382   | 115      |
| ___     | 5,615,277       | 3/1997  | Hoffman          | 382   | 115      |
| ___     | 5,636,282       | 6/1997  | Holmquist        | 380   | 25       |
| ___     | 5,745,555       | 4/1998  | Mark             | 379   | 95       |
| ___     | 5,757,917       | 5/1998  | Rose et al       |       |          |
| ___     | 5,764,789       | 6/1998  | Pare, Jr. et al  | 382   | 115      |
| ___     | 5,802,199       | 9/1998  | Pare, Jr. et al. | 382   | 115      |
| ___     | 5,805,719       | 9/1998  | Pare, Jr. et al. | 382   | 115      |
| ___     | 5,826,245       | 10/1998 | Sandberg-Diment  | 705   | 44       |
| ___     | 5,838,812       | 10/1998 | Pare, Jr. et al. | 705   | 44       |
| ___     | 5,870,723       | 2/1999  | Pare, Jr. et al  | 705   | 39       |

FOREIGN PATENT DOCUMENTS

| Initial | Document Number | Date      | Country | Name                            | Class | Subclass |
|---------|-----------------|-----------|---------|---------------------------------|-------|----------|
| ___     | WO/95/13591     | 5/18/1995 | WIPO    | Jasper Consulting, Inc.         |       |          |
| ___     | WO/98/25227     | 6/11/1998 | WIPO    | Dew Engineering and Development |       |          |
| ___     | 0651357A1       | 3/5/1995  | EPO     | Holloway                        |       |          |
| ___     | 0581421A1       | 2/2/1994  | EPO     | Gajnak                          |       |          |

RECEIVED

JUN 25 2002

OTHER DOCUMENTS

(including author, title, source, date, pertinent pages)

GROUP 3600

\_\_\_ Anderson et al., *Security Management*, American Society for Industrial Security V.37, n11, 17-19 (Nov. 1993)  
\_\_\_ Radcliff, *When Data Warehouse become Open House*, Software Management, v16 n11, November 26, 1996.  
\_\_\_ Anonymous, *A Credit Union Points a Finger at Biometrics*, Bank Network News, v 15 n16, January 13, 1997.  
\_\_\_ Hall, J., *Scanning Lets Fingerprints Do Talking*, Toronto Star, PA6, May 15, 1997.  
\_\_\_ Scally, R., *CompUSA Tests Fingerprints to Help Secure Transactions*, Discount Store News v36 n10, May 19, 1997.

Examiner

Date Considered

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 1

Form PTO-1449 (modified)  
INFORMATION DISCLOSURE CITATION

Atty. Docket No.  
8514-26

Serial No.  
09/441,107

Applicant:  
Hoffman

Examiner J. Bergin

Filing Date  
November 16, 1999

Group  
2164

### U.S. Patent Documents

| Initial | Document Number | Date       | Name            | Class/Subclass |
|---------|-----------------|------------|-----------------|----------------|
| _____   | 5,335,288       | 08/02/94   | Faulkner        | 382/4          |
| _____   | 5,892,824       | 04/06/99   | Beatson et al.  | 380/25         |
| _____   | 6,040,783       | 03/21/2000 | Houvener et al. | 340/825.31     |
| _____   | 6,105,010       | 08/15/2000 | Musgrave        | 705/44         |
| _____   | 6,202,151 B1    | 03/13/2001 | Musgrave et al. | 713/186        |
| _____   | 6,310,966 B1    | 10/30/2001 | Dulude et al.   | 382/115        |

RECEIVED  
JUN 25 2002  
GROUP 3600

### Foreign Patent Documents

| Examiner Initial | Document Number | Date     | Country | Name                            | Class/Subclass |
|------------------|-----------------|----------|---------|---------------------------------|----------------|
| _____            | 0 651 357 A1    | 05/03/95 | EP      | International Business Machines | 7/12           |

Examiner

Date Considered

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.